Attorney Docket No. <u>04016/LH</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Mitsunori SANO et al

Serial No. : 10/757,643

Filed January 13, 2004

For : CHIP TYPE SOLID ELECTROLYTIC

CAPACITOR HAVING A SMALL SIZE AND A SIMPLE STRUCTURE

Art Unit : 2826

Conf. No. : 3941

Examiner : Alexander O. Williams

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(d) WITH STATEMENT <u>UNDER 37 CFR 1.97(e) AND FEE (§1.17(p))</u>

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

Submitted herewith are the following:

- Copy of a Japanese Office Action dated April 12, 2006 (and English translation of relevant portion thereof)
 - issued in a counterpart Japanese application;
- (2) Copies of cited publications (except U.S. patents and publications);
- (3) Form PTO/SB/08A; and
- A form 2038 is enclosed to cover the USPTO fee of \$180.00 as set forth under 37 CFR 1.17(p) for filing an IDS at this stage of prosecution (after allowance and before payment of the Issue Fee).

Authorization is given to charge any additional fees which may be required, or to credit any overpayment, to Account No. 06 - 1378.

This paper is being submitted via EFS-Web on June 26, 2006

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not already paid, authorization to charge the extension fee to Account No. 06-1378. In addition, authorization is hereby given to charge any fees for which payment has not been

submitted, or to credit any overpayments, to Account No. 06-1378.

An English translation of the relevant portion of said Japanese Office Action is provided, thereby satisfying the requirements for a concise explanation of relevance for any non-English language publications cited therein (MPEP 609 III A(3)).

USP 6,430,034, USP 6,400,556 and US 2001/0028544 are counterparts of JP 2001-085273 and JP 2001-291641 cited in the attached Japanese Office Action. USP 6,430,034 and US 2001/0028544 were cited by the U.S. Examiner in an Office Action dated November 21, 2005. USP 6,430,034 is cited in the attached PTO/SB/08A.

English language Abstracts are provided for items B-D cited on the attached PTO/SB/08A.

STATEMENT UNDER 37 CFR 1.97(e)(1)

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the present Information Disclosure Statement. Said Communication bears a mailing date of <u>April 12, 2006</u>. Therefore, the filing of this Information Disclosure Statement is timely under the provisions of 37 CFR 1.97(e) and does not require a fee.

It is requested that an initialed copy of the Form PTO/SB/08A be returned to indicate that the publications listed therein have been considered and made of record.

Respectfully submixted,

Leonard Holtz/Esq Reg. No. 22/974

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LH:nps

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Substitute for Form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT						plicatio	n Number	10/757,643 January 13, 2004 M. SANO 2826				
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Sheet 1 of 1					Attorney Docket Number				04016/LH			
011000		_	101	U			IT DOCUMENTS		- , , ,			
Exam. Inits*	Cite No ¹	Cite No ¹ Document Number				Kind Code ²	Name of Patentee or Applicant		Publication Date	Relevant Portion		
	Α	6,400	,556			B1	MASUDA et al		06-04-2002			
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Exam Inits	Cite No ¹	Offc ³	Document Number⁴		Kind Code⁵		Name of Patentee or Applicant		Publication Date MM-DD-YYYY	Relevant Portion		
	В	JP	2001-085273			А	MATSUCHITA ELECTRIC IND. CO. LTD.		03-30-2001		*	
	С	JP	2001-291641			A	NIPPON ELECTRIC CO.		10-19-2001		*	
	D	JP	JP 2002-151357			Α	HITACHI AIC INC		05-24-2002		*	
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	ı	JP	61-5032	25		Α		!	03-12-1986			
			*English Abstrac	n Language t only								
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^{*} EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE MAILED: June 26, 2006

¹ Unique citation designation number. ² See kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁵ Place a check here if English translation is attached.